



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Robert J. Small et al.  
Title: Chemical-Mechanical Planarization Using Ozone  
Serial No.: 09/783,069 Filed: February 13, 2001  
Examiner: Le, Thao P. Group Art Unit: 2818  
Docket No.: CHEM.010US0 Conf. No.: 3260

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States  
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Commissioner for Patents, Washington, D.C. 20231, on 12/8/03

Eileen Bow  
Signature

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the  
accompanying form PTO-1449 are called to the attention of the Examiner for the above patent  
application. Copies of the documents are enclosed.

Listing of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the  
instant invention;
2. a representation that a search has been made; or

3. an admission that the information listed herein is, or is considered to be, material to patentability as defined in § 1.56(b).

As set forth in the Supplemental Submission filed herewith, it is believed that the Notice of Allowance and Issue Fee Due of November 19, 2003 was premature. Further, the Examiner has stated that she will open the application for further consideration on the merits. Thus, it is believed that the statement is appropriately made under 37 CFR § 1.97(b), or alternatively, under 37 CFR § 1.97(c) with an appropriate fee. However, in the event it is determined that this submission should be under 37 CFR § 1.97(d), the accompanying statement is provided below.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

While no fee is believed to be required, the Office is authorized to charge the appropriate fee associated with this statement to Deposit Account No. 502664.

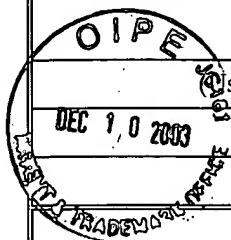
Respectfully submitted,



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U.S. Department of Commerce, Patent and Trademark	Atty. Docket No.	Application No.
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	CHEM.010US0	09/783,069
	Applicant(s)	Conf. No.
(Use several sheets if necessary)	Robert J. Small	3260
	Filing Date	Group
	February 13, 2001	2818



## U.S. Patent Documents

*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate

## U.S. Published Patent Application Documents

*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate

## Foreign Patent Documents

							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
		11-87285	3/30/99	Japan			X*	
		11-87285	3/30/99	Japan			X**	

\* Computer-generated translation of 11-87285, previously disclosed.

\*\* Human-generated translation of 11-87285, previously disclosed.

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.